In Attendance:
1) Summer Foxworth (CO) Commissioner, Committee Chair
2) Nancy Allard (SD) Commissioner
3) Mike Ruedisale (MI) Commissioner
4) Judy Miller (AR) Designee
5) Ted Forrest (MI) Ex-Officio Member
6) Donna Bonner (TX) Commission Chair, Ex-Officio Member
7) Rick Masters, CSG
8) Keith Scott, CSG
9) Crady deGolian, CSG

Absent:
1) Dennis Casarona (KS) Commissioner

- Crady deGolian called the roll. Four of six Committee members were present, ensuring there was a quorum.
- Chair Foxworth next asked Rick Masters to address the three draft policies that the Committee reviewed during the 7/15/09 Compliance Committee call.
  - Specifically, she asked Rick to address why investigations will be handled by an independent third party.
  - Rick noted that an investigation carried out by a third party actually provided protection to the Committee. Utilizing a third party investigator avoids the potential perception of bias.
    - Rick also added that most cases will not reach the level of investigation.
  - After Rick finished, Judy Miller asked him to explain the broader responsibilities of the Compliance Committee.
    - In Rick’s opinion the roll of the Committee is to establish policy regarding how complaints will be handled and ultimately escalated.
    - Judy’s concern was that as the policy reads now, the Committee does not become involved until level five. That is fine with her, but she wants to very clearly understand the responsibilities of the Committee.
      - Rick noted that if the Compliance Committee would prefer a more active role, the policy can be modified to allow for that.
    - In Rick’s opinion the responsibilities of the Compliance Committee are to develop policy, enforce sanctions, and modify rules as need be. He added that the Committee is also responsible for maintaining the credibility of the Compact by ensuring member states adhere to the responsibilities of the Compact.
- Mike Ruedisale asked what happens if the Compliance Committee cannot reach an agreement about whether or not a violation occurred.
  - Rick noted that in the unlikely event of a stalemate on the Compliance Committee the disputed issue should be passed along to the Executive Committee and perhaps onto the full Commission.
• Rick Masters also suggested asking Harry Hageman about how he interacts with ICAOS’ Compliance Committee.
• Chair Foxworth next asked who the Committee would utilize in the event that an investigation is necessary.
  o Rick Masters noted that it would be essential to hire somebody with an investigative background. He added that ICAOS has developed an investigators bank that might be a good starting point for the Committee.
• Donna Bonner asked Rick if it would be appropriate to notify the appropriate State Councils of an impending compliance issue.
  o Rick thought it would be appropriate for the State Council to be notified.
• Rick Masters also suggested reorganizing the flow chart. He noted the recommended changed to the Committee and Crady deGolian inserted them. Rick added that he thought it would be appropriate to make additional changes in some other places as well. He will pass those along to Summer.
• Hearing no additional old or new business, Chair Foxworth adjourned the call.