1. How did the problem come to the attention of NIC?

1996 & 1997
- Practitioners approached NIC with concerns about managing the movement of parolees and probationers in states other than where they were sentenced.

2. What was the problem?

- States were ignoring established transfer and acceptance criteria following several high profile compact cases that resulted in violence.
- By executive order or passage of legislation states were creating state-by-state legal restrictions that were inconsistent with one another and with rules of the Interstate Compact for the Supervision of Parolees and Probationers.
- Congress was conducting hearings regarding problems associated with interstate movement of parolees and probationers.
- Parole and probation managers and executives were concerned that the compact would completely fall apart or that Congress would remove from states the authority to manage this function.

3. How did NIC Proceed?

- **NIC Board conducted a hearing, and empowered an Ad Hoc committee to consider issues related to interstate movement by parolees and probationers.**
  - NIC Information Center conducted a survey of the field.
  - Compact Administrators
  - Parole and probation administrators
  - Line parole and probation officers
- **Public hearing held in Minneapolis, MN. Four groups presented:**
  - Compact Administrators
  - Agency Administrators
  - Parole and probation officers
  - Resource group
- **Ad Hoc Committee developed specific findings and recommendations.**
  - Two important themes were identified:
    - public safety concerns, and
    - correctional systems accountability.
  - Reiteration that the compact is an instrument of the states.
  - A wide range of issues were identified, but one primary issue must be addressed - *the governance of the Compact* - before other issues can be effectively resolved.
  - Only after governance issues are resolved would the committee recommend initiatives concerning:
    - Improved communications between local agencies.
    - Standardized data collection, measures, and reporting.
    - Expanded education, training, and information exchange.
    - Juvenile and adult issues are similar, but the NIC initiative
should focus on adults and we should share information and collaborate with OJJDP.

- The full board endorsed the Ad Hoc committee findings and recommendations.

- **Project Advisory Group formed - 12 members - primarily practitioners.**
  - Learned about interstate compacts in general and explored options:
    1. Do away with the interstate compact completely.
    2. Support improvements through the existing governing structure.
    3. Explore possible changes in the membership of PPCAA by contacting the state appointing authorities.
    4. Add to the existing structure some form of national board that would represent key external stakeholders.
    5. Replace the existing compact with an entirely new compact.
  - Advisory group decided that long term change could only be accomplished through replacement of the existing compact, and
    - NIC needed to acquire expertise regarding interstate compacts in general.
    - NIC needed to acquire expertise in how legislation is drafted and enacted.
    - Drafters should have broader range of expertise than this Advisory group.

- **NIC Cooperative Agreement with the Council of State Governments (CSG)**
  - CSG received the award because:
    - They are recognized nationally for their longtime involvement and expertise in interstate compact law and practice.
    - They manage programs that result in consideration, introduction and passage of proposed state legislation.
    - Their membership is comprised of high level decision makers from all the three branches of state government.
    - They share NIC’s commitment to support states in adopting policies and practices that best meet their individual needs.

- **Drafting group formed: 17 member group including content experts, corrections, victims, and all three branches of government.**
  - Initial work and development of a draft compact proposal.
  - Broad based (290 individuals, agencies & associations) field review and critique sought and received.
  - All responses considered, adjustments made, and final language approved.

- **Marketing Phase - Getting the Word Out**
  - Video
  - Web pages: CSG & NIC
  - Conferences (presentations & exhibit booths): CSG, NCSL, APPA, APAI, ACA
  - First legislative briefing.
    - Developed fiscal note and projected dues assumptions in
response to legislative critique at this session.

- Second legislative briefing.
  • Faculty shifted to legislators who had gone home after the first briefing and got it enacted in their state.

- *Legislation enacted.*
  - Governor Bill Owens makes Colorado the first state to enact the Interstate Compact for Adult Offender Supervision.
  - 9 states enacted the compact during first legislative year.
  - 16 states enacted it during the second legislative year.
  - As of October 11, 2002; 13 states have enacted it during this legislative year, with North Carolina, the District of Columbia, and New Jersey still in process.

- *Planning session for first Commission meeting*
  - A victim representative and decision makers from six states met for two days to provide guidance to NIC/CSG staff concerning planning for the Interstate Commission.

- *First Commission Meeting Convenes*

**Major contributors in the field throughout the process included:**
- Compact Administrators
- P&P Executives
- APPA
- Victim’s representation on Advisory & Drafting groups; membership on ACA & APPA victim’s committees; Pat Tuthill.
Ad Hoc Committee

Barry Nidorf  
*Chief Probation Officer (Retired)*  
*Los Angeles, California*

Norman A. Carlson  
*Director Federal Bureau of Prisons (Retired)*  
*Stillwater, Minnesota*

Newman Flanagan, Director  
*National District Attorneys Association*  
*Alexandria, Virginia*

Michael Gaines, Chair  
*United States Parole Commission*  
*Chevy Chase, Maryland*

Don Omodt  
*Sheriff (Retired)*  
*Hennepin County, Minnesota*
Public Hearing Group
Sherry H. Pilkington
North Carolina Compact Administrator

Milton R. Gilliam
Oklahoma Compact Administrator

Timothy L. Carroll
District of Columbia Deputy Compact Administrator: Probation

Don Blackburn
Utah Deputy Compact Administrator

Robert M. Porter
Florida Compact Administrator

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Tennessee Compact Administrator

Rose Ann Bish
Minnesota (Acting) Deputy Compact Administrator

Stephen C. Lindley
Field Service Administrator
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Barbara Broderick
Director, Adult Services Division
Arizona Administrative Offices of the Courts

William F. Ward
Chairman, Pennsylvania Board of Probation and Parole

John Kinkela
Chief, Ohio Adult Parole Authority

Judge Kathleen Gearin
District Court Judge, St. Paul, Minnesota

Richard Mulcrone
Assistant Commissioner
Minnesota Department of Corrections

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Illinois Office of the Attorney General  
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*Unified Judicial System of South Dakota*

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*Court Services & Offender Supervision, Washington, DC*

Mike Lawlor, State Representative  
*Connecticut*

Don Stiles, Court Administrator  
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Milt Gilliam, Compact Administrator  
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